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EXAMINER
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DWIVEDI, MAHESH H

ART UNIT	PAPER NUMBER
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2168

DATE MAILED: 12/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.



## **DETAILED ACTION**

### ***Information Disclosure Statement***

1. The information disclosure statements (IDS) submitted on 3/14/2005, 12/07/2004, 5/19/2004, 2/9/2004, and 10/14/2003 have been received, entered into the record, and considered. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

### ***Specification***

2. The disclosure is objected to because of the following informalities:  
Attorney Docket Number at paragraph 13 should be replaced with the Application serial number and its current status. Appropriate correction is required.

### ***Claim Objections***

3. Claim 23 is objected to because of the following informalities: Claim 23 is dependent on a claim not yet stated. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Art Unit: 2168

5. Claims 1 and 15 are rejected under 35 U.S.C. 102(b) as being anticipated by **Milillo et al.** ("Milillo" (U.S. Patent 6,463,671)).

6. Regarding claim 1, **Milillo** teaches a method comprising:

- A) creating first and second data volumes (Column 5, lines 56-60), wherein the first data volume is unrelated to the second data volume (Column 5, lines 56-60);
- B) refreshing the second data volume to the data contents of the first data volume so that the second data becomes a point-in-time (PIT) copy of the first data volume, wherein refreshing the second data volume comprises overwriting all data of the second data volume with data copied from the first data volume (Column 7, lines 44-49).

The examiner notes that a "PPRC volume pair" (Column 5, lines 56-60) is analogous to "**first and second data volumes**". The examiner further notes that it is common knowledge that once a pair is created, they are initially "**unrelated**" to one another.

Regarding claim 15, **Milillo** teaches a method comprising:

- A) refreshing a second data volume to the data contents of a first data volume so that the second data becomes a PIT copy of the first data volume (Column 7, lines 44-49);
- B) wherein refreshing the second data volume comprises overwriting all data of the second data volume with data copied from the first data volume (Column 7, lines 44-49); and

Art Unit: 2168

C) wherein the first data volume is unrelated to the second data volume prior to refreshing the second data volume to the data contents of the first data volume (Column 5, lines 56-60).

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Art Unit: 2168

8. Claims 2-3, 16-17, and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Milillo et al.** (U.S. Patent 6,463,671) as applied to claims 1 and 15, and in view of **Armangau** (U.S. Patent 6,434,681).

9. Regarding claims 2 and 16, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Modifying data of the first data volume before any or all data of the second data volume is overwritten with data copied from the first data volume.

**Armangau**, however, teaches “**modifying data of the first data volume before any or all data of the second data volume is overwritten with data copied from the first data volume**” as “checking whether or not the storage location of the production data set has been modified since the time when the snapshot copy was created” (Column 2, lines 20-30)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Armangau's** would have allowed **Eichstaedt's** to provide users services that allow for data to be backed up frequently in order to present the most up-to-date state of the primary data volume, as noted by **Armangau** (Column 2, lines 40-44).

Regarding claims 3 and 17, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Modifying data of the second data volume before any or all data of the second data volume is overwritten with data copied from the first data volume.

**Armangau**, however, teaches “**modifying data of the second data volume before any or all data of the second data volume is overwritten with data copied from the first data volume**” as “checking whether or not the storage location of the production data set has been modified since the time when the snapshot copy was created” (Column 2, lines 20-30)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Armangau's** would have allowed **Milillo's** to provide users services that allow for data to be backed up frequently in order to present the most up-to-date state of the primary data volume, as noted by **Armangau** (Column 2, lines 40-44).

Regarding claim 29, **Milillo** teaches a computer system comprising:

- A) one or more memories for storing data volumes (Column 6, lines 39-40);
- B) a computer system coupled to the one or more memories (Column 6, lines 15-17);
- C) a memory for storing instructions executable by the computer system, wherein the computer system implements a method in response to executing the instructions (Column 6, lines 15-17), the method comprising:

Art Unit: 2168

D) creating first and second data volumes in the one or more memories, wherein the first data volume is unrelated to the second data volume (Column 5, lines 56-60);

E) refreshing the second data volume to the data contents of the first data volume so that the second data becomes a PIT copy of the first data volume, wherein refreshing the second data volume comprises overwriting all data of the second data volume with data copied from the first data volume (Column 7, lines 44-49);

Milillo does not explicitly teach:

F) modifying data of the first data volume before all data of the second data volume is overwritten with data copied from the first data volume.

**Armangau**, however, teaches “**modifying data of the first data volume before all data of the second data volume is overwritten with data copied from the first data volume**” as “checking whether or not the storage location of the production data set has been modified since the time when the snapshot copy was created” (Column 2, lines 20-30)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Armangau's** would have allowed **Milillo's** to provide users services that allow for data to be backed up frequently in order to present the most up-to-date state of the primary data volume, as noted by **Armangau** (Column 2, lines 40-44).



Art Unit: 2168

10. Claims 4-9, 13-14, 18-23, and 26-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Milillo et al.** (U.S. Patent 6,463,671) as applied to claims 1 and 15, and in view of **Goldstein** (U.S. Patent 6,434,681).

11. Regarding claims 4 and 18, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Creating one or more PIT copies of the first data volume prior to refreshing the second data volume to the data contents of the first data.

**Goldstein**, however, teaches “creating one or more PIT copies of the first data volume prior to refreshing the second data volume to the data contents of the first data volume” as “first state snapshot”, “second state snapshot”, “third state snapshot”, and “fourth state snapshot” (Column 3, lines 57-67, Column 4, lines 1-10; Figure 3).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Goldstein's** would have allowed **Milillo's** to provide an effective backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).

Regarding claims 5 and 19, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Wherein one of the PIT copies of the first data volume is in the virtual state when the second data volume is refreshed to the contents of the first data volume.

**Goldstein**, however, teaches “**wherein one of the PIT copies of the first data volume is in the virtual state when the second data volume is refreshed to the contents of the first data volume**” as “a snapshot is a virtual copy of a disk volume” (Column 3, lines 43-44).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Goldstein’s** would have allowed **Milillo’s** to provide an effective backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).

Regarding claims 6 and 20, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Creating one or more PIT copies of the second data volume prior to refreshing the second data volume to the data contents of the first data volume.

**Goldstein**, however, teaches “**creating one or more PIT copies of the second data volume prior to refreshing the second data volume to the data contents of the first data volume**” as “a full base state backup” (Column 4, lines 11-15) and “a second succedent backup” (Column 4, lines 52-57).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because

Art Unit: 2168

teaching **Goldstein's** would have allowed **Milillo's** to provide an effective backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).

Regarding claims 7 and 21, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Wherein one of the PIT copies of the second data volume is in the virtual state when the second data volume is refreshed to the contents of the first data volume.

**Goldstein**, however, teaches “wherein one of the PIT copies of the second data volume is in the virtual state when the second data volume is refreshed to the contents of the first data volume” as “a snapshot is a virtual copy of a disk volume” (Column 3, lines 43-44).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Goldstein's** would have allowed **Milillo's** to provide an effective backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).

Regarding claims 8 and 22, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Art Unit: 2168

Wherein the first data volume is a real or virtual PIT copy of another data volume when the second data volume is refreshed to the contents of the first data volume.

**Goldstein**, however, teaches “**wherein the first data volume is a real or virtual PIT copy of another data volume when the second data volume is refreshed to the contents of the first data volume**” as “a full base state backup is made of the base state snapshot by copying the entire contents of the base state snapshot” (Column 4, lines 11-15) and “second succedent backup” (Column 4, lines 52-57, Figure 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Goldstein’s** would have allowed **Milillo’s** to provide an effective backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).

Regarding claims 9 and 23, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Wherein the second data volume is a real or virtual PIT copy of another data volume when the second data volume is refreshed to the contents of the first data volume.

**Goldstein**, however, teaches “**wherein the second data volume is a real or virtual PIT copy of another data volume when the second data volume is refreshed to the contents of the first data volume**” as “a full base

Art Unit: 2168

state backup is made of the base state snapshot by copying the entire contents of the base state snapshot" (Column 4, lines 11-15) and "second succedent backup" (Column 4, lines 52-57, Figure 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Goldstein's** would have allowed **Milillo's** to provide an effective backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).

Regarding claims 13 and 26, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

Creating a PIT copy of the second data volume before or while refreshing the second data volume to the data contents of the first data volume.

**Goldstein**, however, teaches "creating a PIT copy of the second data volume before or while refreshing the second data volume to the data contents of the first data volume" as "a full base state backup is made of the base state snapshot by copying the entire contents of the base state snapshot" (Column 4, lines 11-15) and "second succedent backup" (Column 4, lines 52-57, Figure 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Goldstein's** would have allowed **Milillo's** to provide an effective

Art Unit: 2168

backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).

Regarding claims 14 and 27, **Milillo** teaches a method and a computer readable medium comprising:

A) refreshing a data volume of the second hierarchy to the data contents of a data volume of the first hierarchy (Column 7, lines 44-49).

**Milillo** does not explicitly teach:

B) creating a first hierarchy of data volumes, wherein the first hierarchy comprises a first primary data volume, wherein each data volume in the first hierarchy, other than the first primary data volume is a PIT copy of another data volume in the first hierarchy or a PIT copy of the first primary data volume; and  
C) creating a second hierarchy of data volumes, wherein the second hierarchy comprises a second primary data volume, wherein each data volume in the second hierarchy, other than the second primary data volume, is a PIT copy of another data volume in the second hierarchy or a PIT copy of the second primary data volume.

**Goldstein**, however, teaches “**creating a first hierarchy of data volumes**” and “**creating a second hierarchy of data volumes**” as “first state snapshot”, “second state snapshot”, “third state snapshot”, and “fourth state snapshot” (Column 3, lines 57-67, Column 4, lines 1-10; Figure 3), and “**wherein each data volume in the first hierarchy, other than the first primary data volume is a PIT copy of another data volume in the first hierarchy or a PIT**

Art Unit: 2168

**copy of the first primary data volume” and “wherein each data volume in the second hierarchy, other than the second primary data volume, is a PIT copy of another data volume in the second hierarchy or a PIT copy of the second primary data volume”** as “a full base state backup is made of the base state snapshot by copying the entire contents of the base state snapshot”.

(Column 4, lines 11-15) and “second succedent backup” (Column 4, lines 52-57, Figure 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Goldstein’s** would have allowed **Milillo’s** to provide an effective backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).

Regarding claims 28 **Milillo** teaches an apparatus comprising:

- A) one or more memories for storing data volumes (Column 6, lines 39-40);
- B) a circuit for refreshing a data volume of the second hierarchy to the data contents of a data volume of the first hierarchy (Column 7, lines 44-49).

**Milillo** does not explicitly teach:

- C) a circuit for creating a first hierarchy of data volumes and a second hierarchy of data volumes, wherein the first hierarchy comprises a first primary data volume, wherein each data volume in the first hierarchy, other than the first primary data volume, is a PIT copy of another data volume in the first hierarchy or a PIT copy of the first primary data volume, wherein the second hierarchy

Art Unit: 2168

comprises a second primary data volume, wherein each data volume in the second hierarchy, other than the second primary data volume, is a PIT copy of another data volume in the second hierarchy or a PIT copy of the second primary data volume;

**Goldstein**, however, teaches “**creating a first hierarchy of data volumes**” and “**creating a second hierarchy of data volumes**” as “first state snapshot”, “second state snapshot”, “third state snapshot”, and “fourth state snapshot” (Column 3, lines 57-67, Column 4, lines 1-10; Figure 3), and “**wherein each data volume in the first hierarchy, other than the first primary data volume, is a PIT copy of another data volume in the first hierarchy or a PIT copy of the first primary data volume, wherein the second hierarchy comprises a second primary data volume, wherein each data volume in the second hierarchy, other than the second primary data volume, is a PIT copy of another data volume in the second hierarchy or a PIT copy of the second primary data volume**” as “a full base state backup is made of the base state snapshot by copying the entire contents of the base state snapshot” (Column 4, lines 11-15) and “second succedent backup” (Column 4, lines 52-57, Figure 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Goldstein’s** would have allowed **Milillo’s** to provide an effective backup strategy which preserves old versions of the data volume contents at suitable intervals, as noted by **Goldstein** (Column 2, lines 35-38).



12. Claims 10-12 and 24-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Milillo et al.** (U.S. Patent 6,463,671) as applied to claims 1 and 15, and in view of **Micka** (U.S. Patent 6,611,901).

13. Regarding claims 10 and 24, **Milillo** does not explicitly teach a method and a computer readable medium comprising:

- A) generating first and second maps in memory;
- B) wherein each of the first and second maps comprises a plurality of entries;
- C) wherein each entry of the first map corresponds to a respective memory block that stores data of the first data volume; and
- D) wherein each entry of the second map corresponds to a respective memory block that stores data of the second data volume.

**Micka**, however, teaches “**generating first and second maps in memory**” as “source and target bit maps” (Column 6, lines 22-28), “**wherein each of the first and second maps comprises a plurality of entries**” as “bit map values corresponding to each of the tracks on the source and target devices” (Column 6, lines 22-28; Figures 3a-3b), “**wherein each entry of the first map corresponds to a respective memory block that stores data of the first data volume**” as “bit maps having bit map values corresponding to each of the tracks on the source and target devices” (Column 6, lines 22-28; Figures 3a-3b), and “**wherein each entry of the second map corresponds to a**

Art Unit: 2168

**respective memory block that stores data of the second data volume”** as “bit maps having bit map values corresponding to each of the tracks on the source and target devices” (Column 6, lines 22-28; Figures 3a-3b).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Micka’s** would have allowed **Milillo’s** to provide improved point-in-time copy methods, as noted by **Micka** (Column 2, lines 27-30).

Regarding claim 11, **Milillo** does not explicitly teach a method comprising:

- A) setting a first bit in each entry of the first map, wherein each first bit of the first map is set to indicate its respective memory block stores valid data;
- B) clearing a first bit in each entry of the second map, wherein each first bit of the second map is set to indicate its respective memory block stores invalid data.

**Micka**, however, teaches “**setting a first bit in each entry of the first map, wherein each first bit of the first map is set to indicate its respective memory block stores valid data**” as “a one or “on” value indicates that the point-in-time copy is on the source track” (Column 6, lines 22-28; Figures 3a-3b), and “**clearing a first bit in each entry of the second map, wherein each first bit of the second map is set to indicate its respective memory block stores invalid data**” as “a zero indicates that the point-in-time copy has been copied from the source track location to the target” (Column 6, lines 22-28; Figures 3a-3b).

Art Unit: 2168

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Micka's** would have allowed **Milillo's** to provide improved point-in-time copy methods, as noted by **Micka** (Column 2, lines 27-30).

Regarding claim 12, **Milillo** does not explicitly teach a method comprising: Setting or clearing a second bit in each entry of the second map to indicate that its respective memory block stores data needed for a PIT copy of the second data volume.

**Micka**, however, teaches “**setting or clearing a second bit in each entry of the second map to indicate that its respective memory block stores data needed for a PIT copy of the second data volume**” as “a one or “on” value indicates that the point-in-time copy is on the source track” (Column 6, lines 22-28; Figures 3a-3b) and “a zero indicates that the point-in-time copy has been copied from the source track location to the target” (Column 6, lines 22-28; Figures 3a-3b).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Micka's** would have allowed **Milillo's** to provide improved point-in-time copy methods, as noted by **Micka** (Column 2, lines 27-30).

Regarding claim 25, **Milillo** does not explicitly teach a computer readable medium comprising:

Art Unit: 2168

- A) clearing a first bit in each entry of the first map, wherein each first bit of the first map is set to indicate its respective memory block stores valid data;
- B) setting a first bit in each entry of the second map, wherein each first bit of the second map is set to indicate its respective memory block stores invalid data.

**Micka**, however, teaches “**clearing a first bit in each entry of- the first map, wherein each first bit of the first map is set to indicate its respective memory block stores valid data**” as “a zero indicates that the point-in-time copy has been copied from the source track location to the target” (Column 6, lines 22-28; Figures 3a-3b), and “**setting a first bit in each entry of the second map, wherein each first bit of the second map is set to indicate its respective memory block stores invalid data**” as “a one or “on” value indicates that the point-in-time copy is on the source track” (Column 6, lines 22-28; Figures 3a-3b).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because teaching **Micka's** would have allowed **Milillo's** to provide improved point-in-time copy methods, as noted by **Micka** (Column 2, lines 27-30).

### ***Conclusion***

14. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Art Unit: 2168

U.S. Patent 6,799,258 issued to **Linde** on 28 September 2004. The subject matter disclosed therein is pertinent to that of claims 1-29(e.g., methods to create PIT copies of data volumes).

U.S. Patent 5,875,479 issued to **Blount et al.** on 23 February 1999. The subject matter disclosed therein is pertinent to that of claims 1-29 (e.g., methods to perform PIT copies of data volumes).

U.S. Patent 6,338,114 issued to **Paulson et al.** on 8 January 2002. The subject matter disclosed therein is pertinent to that of claims 1-29 (e.g., methods to manipulate multiple data volumes).

#### ***Contact Information***

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mahesh Dwivedi whose telephone number is (571) 272-2731. The examiner can normally be reached on Monday to Friday 8:20 am – 4:40 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Gaffin can be reached (571) 272-4146. The fax number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through

Art Unit: 2168

Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mahesh Dwivedi

Patent Examiner

Art Unit 2168

  
December 19, 2005

  
Leslie Wong

Primary Examiner